Modified Form PTO/SB/08A

Complete If Known

3729

09/527,931

March 17, 2000

Mathieu et al.

Substitute for form 1449A/PTO

INFORMATION DISCLOSI

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

 Examiner Name
 R. Chang

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 1
 Attorney Docket No.
 P114-US

			U.S. PATE	NT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent	Document Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date	Relevant Portions
	1	4947481		Ikedo et al.	8/7/1990	
	2	5148103		Pasiecznik, Jr.	9/15/1992	
	3	5642054		Pasiecznik, Jr.	6/24/1997	
	4	5094536		MacDonald	3/10/1992	
	5	5974662		Eldridge et al.	11/2/1999	

Application Number

First Named Inventor

Filing Date

Group Art Unit

			FOREIGN	N PA	TENT DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Foreig Office <sup>3</sup>	gn Patent Docume Number <sup>4</sup> Kind C		Name of Patentee or Applicant	Publication Date	Relevant Portions	T <sup>6</sup>
11	6	JP	4294559		Koike et al.	10/19/1992		
	7	JP	3065659		Bando	3/20/1991		х
	8	JP	1152271		Nakazima et al.	10/20/1989		х
	9	JP	59149070		Mizumo	10/5/1984		х
	10	JP	2226996		Kitamura	9/10/1990		х_
	11	JP	3292406		Sakuma	12/24/1991		х
	12	JP	4207047		Takao	7/29/1992		х
W /	13	JP	529406		Nakao	2/5/1993		х
	14	JP	650990			2/25/1994		
7/	15	wo	96/15458		Khandros et al.	5/23/1996		

Examiner /	Date	(//-	1	)//	
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	 		7	7	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Modified Form PTO/SB/08A Complete If Known Application Number 09/527 931

MC)*				Com	piere if Known	
Substitute fo	or form 1449A/PT	υ		Application Number	09/527,931	
INFOR	MATION	DISCL	OSURE	Filing Date	March 17, 2000	
	EMENT BY			First Named Inventor	Mathieu et al.	
_				Group Art Unit	3729	
(us	e as many sheet	is as necess	<b></b> y)	Examiner Name	R. Chang	
Sheet	1	of	1	Attorney Docket No.	P114-US	
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			U.S. PATE	NT DOCUMENTS		
Examiner	Cite			Name of Patentee or	Publication	Relevant
Initials*	No.	Number	Kind Code <sup>2</sup>	Applicant	Date	Portions
7/	1	5828226		Higgins et al.	10/27/1998	
	2	6040700		Berar	3/21/2000	
	3	6043668		Carney	3/28/2000	
	4	6087840		Mizuta	7/11/2000 *	
	5	6144212		Mizuta	11/7/2000	
1	6	6160412		Martel et al.	12/12/2000	
17	17	6166552		O'Connell	12/26/2000	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document Office Number Kind Code	Name of Patentee or Applicant	Publication Date	Relevant Portions	T°	
111111111111111111111111111111111111111	8	EP 802419	Soejima et al.	10/22/1997			

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Patti Crowder --

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Gaetan L. Mathieu et al.

Application No.: 09/527,931

Filing Date: March 17, 2000

For: Methods For Planarizing A Semiconductor

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Examiner: R. Chang

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Group Art Unit: 3919

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**INFORMATION DISCLOSURE STATEMENT (IDS)** 

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir.

Listed on an attached Form PTO/SB/08A is information known to applicant(s). Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the attached Form PTO/SB/08A in accordance with MPEP §609. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

This stateme apply):	ent qual	ifies under 37 C.F.R. §1.97, subsection (b) because (check all that
	(1)	It is being filed within 3 months of the application filing date (other than the filing date of a continued prosecution application under §1.53(d))  — OR
	(2)	It is being filed within 3 months of entry of a national stage  — OR —
	(3)	It is being filed before the mail date of the first Office Action on the merits  — OR —
	(4)	It is being filed before the mail date of the first Office Action after the filing of a request for continued examination under §1.114.

**PATENT** 

Attorney Docket No.: P114-US

Ø	of the date of after the mailing	F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months d the filing date of a national application; (2) three months beyond the date of entry national stage as set forth in §1.491 in an international application; (3) the mailing f a first Office action on the merits, or (4) the mailing date of a first Office Action he filing of a request for continued examination under §1.114, but before the g date of the earlier of a final office action under §1.113, a notice of allowance §1.311, or an action that otherwise closes prosecution in the application, then:
		a statement as specified in §1.97(e) is provided below; or
	Ø	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
	final o	F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a ffice action under §1.113, a notice of allowance under §1.311, or an action that vise closes prosecution in the application, but before payment of the issue fee,
	A.	a statement as specified in §1.97(e) is provided below; and
	В.	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
⊠ .	fee of Applic the Co overpa	uthorization. Applicants hereby authorize the Commissioner to charge the above \$180.00 to Deposit Account No. 50-0285 (Order No. P114-US). Although ants believe that no additional fee is due in connection with the filing of this paper, ommissioner is hereby authorized to charge any additional fees due, or credit any ayment associated, with this communication to Deposit Account No. 50-0285 r No. P114-US).
		Respectfully submitted,
Date:_	June	By: Munth Surraston Reg. No. 39,923

FormFactor, Inc. Legal Department 2140 Research Drive Livermore, CA 94550 Telephone: (925) 294-4300

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